

## STAND-ALONE TCK LICENSE AGREEMENT

This Stand-Alone TCK License Agreement for Java EE 7 (the "Agreement") is entered into this \_\_\_\_\_ day of \_\_\_\_\_, 201\_ (the "Effective Date"), by and between Oracle America, Inc., ("Oracle") with its principal place of business at 500 Oracle Parkway, Redwood City, CA 94065, and \_\_\_\_\_, an individual, corporation or organization with a principal place of business at \_\_\_\_\_ ("Licensee").

### RECITALS

WHEREAS Oracle wishes to license certain of its Java™ Platform Enterprise Edition 7 ("Java EE 7") technology compatibility kits to facilitate the compatibility testing of implementations of the Java EE 7 Specification; and

WHEREAS Oracle wishes to protect and promote certain trademarks used in connection with compatible implementations of the Java EE 7 specification; and

WHEREAS Licensee wishes to develop and distribute as its own value-added products compatibility-tested implementation of the Java EE 7 Specification;

NOW THEREFORE, Oracle and Licensee enter into this Agreement on the following terms.

### 1.0 DEFINITIONS

1.1 "*Confidential Information*" means any information or materials marked or designated as confidential or proprietary by Oracle or Licensee.

1.2 "*Documentation*" means the materials which Oracle provides for use with the Test Suites and Test Tools, as more particularly identified in Exhibit A, as may be revised by Oracle during the Term.

1.3 "*FCS*" means first commercial shipment of a production version of a software or hardware product or technology.

1.4 "*Intellectual Property Rights*" means worldwide rights arising under contract, statute or common law, whether or not perfected, and associated with: (a) patents and patent applications; (b) works of authorship, including copyrights, mask works, and moral rights; (c) the protection of trade and industrial secrets and confidential information; (d) any rights analogous to those set forth herein and any other proprietary rights relating to intangible or intellectual property now existing or later recognized in any jurisdiction (excluding trademarks, service marks, trade names, and trade dress); and (e) divisions, continuations, renewals, reissues, reexaminations, applications, registrations, and any extensions of the foregoing (as applicable), now existing or hereafter filed, issued or acquired.

1.5 "*Intermediate Build*" means a binary build created as a development step towards what is intended to be a compatible Product and which incorporates the most recent changes made to the

1.6 "*Java Specification*" means the written specification for the full Java EE 7 technology or for the Java EE 7 Web Profile made available in conjunction with the Java EE 7 Specification.

1.7 "*Licensor Name Space*" means the public class or interface declarations whose names begin with "java", "javax", "com.sun", "com.oracle" or their equivalents in any subsequent naming convention adopted by Oracle through the Java Community Process, or any recognized successors or replacements thereof.

1.8 "*Master Support Agreement*" or "*MSA*" means the separate agreement entered into by the parties that specifies the terms and conditions related to Oracle's provision of support services concerning the TCK which, when executed, will be attached hereto for reference as Exhibit B.

1.9 "*Product(s)*" means a Licensee product which: (i) fully implements the Java Specification including all its required interfaces and functionality; (ii) does not modify, subset, superset or otherwise extend the Licensor Name Space, or include any public or protected packages, classes, Java interfaces, fields, methods or constructors within the Licensor Name Space other than those required/authorized by the Specification being implemented; (iii) passes the TCK (including satisfying the requirements of the applicable TCK Users Guide) for the Java Specification; (iv) does not include any portion of the Reference Implementation; (v) has a principal purpose which is substantially different from a stand-alone implementation of that specification, while the value-added portion of the product operates in conjunction with the portion that implements the Java Specification; (vi) represent a significant functional and value enhancement over any stand-alone implementation of that specification; and (vii) not be marketed as a technology which replaces or substitutes for a stand-alone implementation of that specification.

1.10 "*Reference Implementation*" or "*RI*" means the prototype or "proof of concept" implementation of the Specification developed and made available for license as the Reference Implementation by or on behalf of Oracle.

1.11 "*Specification License*" means the license offered by Oracle under certain of its Intellectual Property Rights to create an implementation of the Java Specification under certain restrictions and limitations where such implementation does not include any portion of the Reference Implementation.

1.12 "*Oracle License*" means a license agreement for the TCKs from Oracle, whether denominated as a Technology License and Distribution Agreement (TLDA), a Master Support Agreement entered into in conjunction with either a Oracle Community Source License or a TLDA, or a Stand-Alone TCK License Agreement.

1.13 "*Oracle Licensee*" means a third party who is a party in good standing to an Oracle License.

1.14 "*Technology Compatibility Kit*" or "*TCK*" means the Test Suite and related documentation (for example, the TCK Users Guide) associated with the Java Specification as made available to Licensee and as may be revised by Oracle during the Term, that is provided so that an implementer of the Java Specification may determine if its implementation is compliant with the Specification.

1.15 "*Term*" means the term of the Agreement as specified in Section 10.1.

1.16 "*Test Suite*" means the test suites test harness and other testing or measurement tools associated with the Java Specification, as made available to Licensee and as they may be revised by Oracle during the Term.

1.17 "*Trademark License*" means the separate agreement entered into by the parties that specifies the terms and conditions related to the use of trademarks, logos and branding in connection with Products, and when executed will be attached hereto for reference as Exhibit C.

## 2.0 LICENSE GRANTS

### 2.1 License Grant for the TCK.

(a) **Limited Grant.** Subject to and conditioned upon Licensee's having accepted and being bound by the Specification License and Licensee's compliance with the restrictions and obligations contained in this Agreement, including but not limited to item (v) of the Additional Limitations set forth in subsection (b) below, and except as otherwise set forth in Exhibit A, Oracle hereby grants to Licensee, to the extent of Oracle's Intellectual Property Rights in the TCK(s), a worldwide, non-exclusive, non-transferable, limited license to use the TCK(s) internally and solely for the purpose of developing and testing Products. No license is granted for any other purpose, including any of the activities described in Section 2.1(b).

(b) **Additional Limitations.** Except as otherwise set forth in Exhibit A, Licensee may not:

- (i) sublicense or distribute the TCK(s) to any third party, except that Licensee may share comments, questions or particular results concerning Licensee's use of the TCK (including relevant excerpts of the TCK itself) with other Oracle Licensees, provided that any Confidential Information disclosed by Licensee to another such licensee shall still be subject to the Confidentiality provisions of the recipient's Oracle License; or
- (ii) create derivative works of the TCK(s); or
- (iii) disassemble or decompile binary portions of the Test Suite(s) or Test Tools or otherwise attempt to derive the source code from such portions; or
- (iv) develop other test suites intended to validate compatibility with the Java Specification(s) to which the TCK(s) licensed hereunder corresponds; or
- (v) distribute code which has been tested against the TCK and which implements a substantial portion of the Java Specification:

A. unless such code is included either (i) in a Product within the meaning of Section 1.9, or (ii) as part of a product that implements one or more Java specifications that are separately licensable components of the Java EE 7

Specification where such product satisfies the applicable compatibility requirements for those specifications; and

B. unless, for each new release of a Product by Licensee, such Product passes, in accordance with the Documentation (including the TCK Users Guide), the most current TCK applicable to the latest version of the Java Specification and available from Oracle one hundred twenty (120) days before FCS of such version of the Product; provided, however, that if Licensee elects to use a version of the TCK also provided by Oracle that is newer than that which is required under this Section 2.1(b)(v), then Licensee agrees to pass such TCK; or

- (vi) use the TCK to test a third party's product; or
- (vii) use the TCK to make claims of comparative compatibility (for example, a claim either that the Licensee Implementation is "90% compatible" or that the Product is "more compatible" than another implementation of the Java EE 7 Specification).

For the avoidance of doubt, neither the affirmative statement "[name of Licensee's] implementation of the [name of Specification in question] passed the TCK for [name of Specification in question]", nor the corresponding negative statement, i.e. "...did not pass...", shall be understood to violate the limitation set forth in Section 2.1(b)(vii) above.

Neither the limited scope of the grant set forth in Section 2.1(a) nor the additional limitations set forth in Section 2.1(b)(v) above shall be understood to require Licensee to include any particular "pass through" requirements in any license it grants concerning the redistribution of a Product (or an Intermediate Build to the extent permitted by Section 2.1[c] below) with which the TCK licensed hereunder has been used. However, except with respect to downstream products developed and distributed by Licensee's licensees and sublicensees which incorporate, in whole or in part, Licensee's Product **and** themselves satisfy all the other requirements for a Product set forth in Section 1.12 of this Agreement, Licensee may neither: (a) grant or otherwise pass through to its licensees any licenses under Oracle's applicable intellectual property rights; nor (b) authorize its licensees to make any claims concerning their implementation's compliance with the Specification in question.

**(c) Intermediate Builds.** If Licensee generally uses a bona fide open source software development methodology and does so to develop the Product, then, notwithstanding the limited license grant set forth in Section 2.1(a) or the additional limitation set forth in Section 2.1b(v), Licensee may make "Intermediate Builds" available subject to the following conditions:

- i. such Build is marked with the word "UNTESTED" or "INCOMPATIBLE" or "UNSTABLE" or "BETA" in any list of available builds and in every link initiating its download, where the list or link is under Licensee's control;
- ii. Licensee displays the following notice in such a manner that anyone downloading the Intermediate Build must see the notice before commencing the download:

"This is an intermediate build made available for testing purposes only. The code is untested and presumed incompatible with the *<name of Java Specification>*. You should not deploy or write to this code, but instead use the tested and certified *<name of Java Specification>* compatible version of the code that is available at [*include a url and a link*]. Redistribution of any Intermediate Build must retain this notice."

Licensee must also include the same notice as a README.*<shorthand name of specification>* file with any source code bundle (e.g. tarball) download that corresponds to the Intermediate Build;

- iii. Moreover, Licensee shall not distribute (except as a passive download as provided above), market or promote Intermediate Builds, including without limitation in connection with providing any goods or services.
- iv. After making its initial release of a Product available, for any Intermediate Build subsequently made available by Licensee that is for the same context or environment (e.g. described by the same hardware architecture, operating system and version, and Java Virtual Machine version). Licensee must at all times also make the corresponding Product available. The link to such Product must be prominent and in close proximity to any corresponding Intermediate Build in any list of available builds or downloads.
- v. Licensee must include the following README.*<shorthand name of specification>* file in the root directory of any source code it may make available through access to a revision control system (e.g. CVS):

"This version of [Project name] source code is made available in support of the open source development process. Some numbered or tagged revisions of this source have been tested and found to pass the *<name of Java Specification>* Compatibility Test Suite, and you can find information on which revisions or tags at [*include URL and link*]. Please note that since only binaries can be tested, source code cannot be described as a compatible implementation of the *<name of Java Specification>* Specification. The different build environment on your machine and any changes you may make to this code could render your resulting build incompatible. Because of this, writing or deploying applications to builds based on this code can lead to lack of portability. You should instead consider deploying production applications on the pre-built binaries of [Project Name] that are available at [*include a url and a link*] that have been tested and certified to meet the *<name of Java Specification>* compatibility requirements."

- vi. For each Product released by Licensee, Licensee must: (a) prominently identify the corresponding source version and configuration, including the identifying tag or other indicator required to extract the source code from the project revision control system, if any; and (b) provide a description of the build environment that was used to create the Product.

For any notice required under this Section 2.1(c), in addition to providing such notice in English you must also include one or more accurate translations of the notice(s) in languages appropriate for the primary intended audiences when such audiences do not have English as their primary language.

**(d) Superseded Releases.** Oracle agrees that under the following circumstances the provisions of Section 2.1(b)(v) above shall not be understood to preclude Licensee from distributing maintenance releases (a new release of a preexisting Product that implements substantially the same core technologies) of a Product that implemented a prior version of the Java Specification ("Initial Product") where such maintenance release implements the same version of the Java Specification implemented by the Initial Product:

1. If released before the "Migration Date", such maintenance release passes (in accordance with the Documentation, including the TCK Users Guide) the most current TCK applicable to the version of the Java Specification implemented by the Initial Product and available from Oracle 120 days before FCS of such Product.

2. If released after the "Migration Date":

- (i) either Licensee has already released (and continues to make available) a compatible successor Product (per Section 2.1[a],[b] and [d]) that implements the latest available version of the Java Specification implemented by the Initial Product, or Oracle has released and continues to make generally available for license an RI for the latest available version of the Java Specification implemented by the Initial Product and Licensee provides accurate information along with its maintenance release (and included prominently on the packaging, if any) for how to obtain the latest available version of the Java Specification and corresponding RI from Oracle, and indicating that such RI and Specification are the latest available versions; and

- (ii) such maintenance releases pass (in accordance with the Documentation, including the TCK Users Guide) the most current TCK applicable to the version of the Java Specification implemented by the Initial Product and available from Oracle 120 days before FCS of such Product.

For the purposes of this Section 2.1(c), "Migration Date" shall mean 120 days after the final release of the latest available version of the Java Specification as described above.

**(e) Testing.** Licensee shall self-certify that its Product passes the applicable TCK as set forth above, if and when the Product in fact does so. You further agree to provide, upon Oracle's reasonable request, to Oracle or to or to an independent test facility designated by Oracle if it is the Oracle's general policy to use such a facility, your test results that demonstrate that the Product meets the compatibility and other requirements for a Product as set forth in herein.

**2.2 Proprietary Rights Notices.** Licensee shall not remove any copyright notices, trademark notices or other proprietary legends of Oracle or its suppliers contained on or in the TCK, and shall incorporate such notices in all copies of any TCK. Licensee shall comply with all

reasonable requests by Oracle to include additional copyright or other proprietary rights notices of Oracle or third parties from time to time.

2.3 *Branding.* Licensee shall include Oracle's compatibility logo trademark(s) specified in Exhibit A on FCS versions of Products it distributes, subject to the Trademark License, to indicate that such Products meet the applicable compatibility requirements specified herein.

2.4 *Ownership.* Licensee acknowledges and agrees that, as between Oracle and Licensee, Oracle owns all right, title and interest in and to the TCK, any derivative works thereof and Intellectual Property Rights (excluding any pre-existing Intellectual Property Rights owned by Licensee) associated therewith.

2.5 *No Other Grant.* This Agreement does not grant to Licensee any right or license, under any Intellectual Property Rights of Oracle or otherwise, except as expressly provided in this Section 2.0, and no other right or license is to be implied by or inferred from any provision of this Agreement or by the conduct of the parties.

### **3.0 SUPPORT**

Unless otherwise specified in a MSA executed by Oracle and Licensee and attached hereto as Exhibit B, nothing in this Agreement shall obligate Oracle to provide any Upgrades, technical support or other assistance concerning the TCK to Licensee or to any distributor or customer of Licensee for its Products.

### **4.0 PAYMENT**

4.1 *License Fees.* Licensee shall pay to Oracle the fees set forth in Exhibit A, if any, as set forth therein. Payments shall be sent to: Oracle America, Inc., *Software Royalty Accounting Group*, PO Box 10903, Palo Alto CA 94303.

4.2 *Taxes.* All payments required by this Agreement shall be made in United States dollars, are exclusive of taxes, and Licensee agrees to bear and be responsible for the payment of all such taxes, including, but not limited to, all sales, use, rental receipt, personal property or other taxes and their equivalents which may be levied or assessed in connection with this Agreement (excluding only taxes based on Oracle's net income). To the extent Licensee is required by local law to withhold taxes based upon Oracle's income, Licensee may deduct from any payments to Oracle any income tax or tax of a similar nature (including taxes based on net worth) imposed by any government ("Government Income Tax") and actually paid by Licensee for the account of Oracle, to the extent such Government Income Tax does not exceed the appropriate withholding amount applicable under relevant tax treaties and qualifies as a creditable foreign tax by the United States government. In the event that Licensee deducts any Government Income Tax from payments owed to Oracle, Licensee shall furnish Oracle with an official tax receipt or other evidence issued by the taxing authority suitable for Oracle to obtain a tax credit in the United States.

### **5.0 LIMITED WARRANTY AND DISCLAIMER**

5.1 *Disclaimer of Warranty.* ORACLE LICENSES THE TCK ON AN "AS IS" BASIS. ALL REPRESENTATIONS AND WARRANTIES, INCLUDING ANY IMPLIED WARRANTY OF MERCHANTABILITY, FITNESS FOR A PARTICULAR PURPOSE OR NON-INFRINGEMENT ARE HEREBY DISCLAIMED, EXCEPT TO THE EXTENT THAT THESE DISCLAIMERS ARE HELD TO BE LEGALLY INVALID, IN WHICH CASE ANY SUCH EXPRESS OR IMPLIED WARRANTY IS LIMITED TO NINETY (90) DAYS.

5.2 *High Risk Activities.* The TCK is not designed or intended for use in the design, construction, operation or maintenance of any nuclear facility. Oracle disclaims any express or implied warranty of fitness for such uses.

5.3 *Limitation.* The limited warranty set forth in this Section 5.0 is expressly subject to Section 9.0 (Limitation of Liability).

## **6.0 CONFIDENTIAL INFORMATION**

6.1 *Duty of Confidentiality.* Licensee will protect the TCK as Oracle Confidential Information protected under this Section 6.0. A party receiving Confidential Information may not: (i) disclose Confidential Information to any third party, except that such party may exchange comments or questions concerning its use of the TCK, but not the TCK itself, to a Oracle Licensee of the same TCK that is licensed hereunder; or (ii) use Confidential Information except for the purpose of the purpose of developing and testing Products. The receiving party will protect the confidentiality of Confidential Information to the same degree of care, but no less than reasonable care, as such party uses to protect its own Confidential Information. Obligations regarding Confidential Information will expire three (3) years from the date of receipt of the Confidential Information, except for source code, which will be protected by Licensee in perpetuity.

6.2 *Exceptions.* The obligations set forth in this Section 6.0 will not apply to any portion of Confidential Information which a receiving party can demonstrate: (a) through no act or failure to act on the part of the receiving party, is now or hereafter becomes, generally known in the software industry; (b) is hereafter rightfully furnished to the receiving party by a third party without restriction on disclosure; or (c) is independently developed by the receiving party without any use of Confidential Information.

6.3 *Residual Rights.* Licensee may, subject to Oracle's copyrights or patent rights, use any information retained in the unaided memory of its employees and other agents after accessing the TCK.

## **7.0 LIMITED INDEMNITY**

7.1 *By Oracle.* Oracle will defend, at its expense, any legal proceeding brought against Licensee, to the extent it is based on a claim that authorized use of the FCS or subsequent production version(s) of the TCK is an infringement of a third party trade secret or a copyright in a country that is a signatory to the Berne Convention, and will pay all damages awarded by a court of competent jurisdiction, or such settlement amount negotiated by Oracle, attributable to such claim, provided that Licensee: (a) provides written notice of the claim and any such threatened claim promptly to Oracle; (b) gives Oracle sole control of the defense and settlement of the



claim; (c) provides to Oracle, at Oracle's expense, all available information, assistance and authority to defend; and (d) has not compromised or settled such proceeding without Oracle's prior written consent.

*7.2 Exclusive Remedies.* Should any FCS TCK or any portion thereof become, or in Oracle's opinion be likely to become, the subject of a claim of infringement for which indemnity is provided under Section 7.1, Oracle shall, in addition to the obligations specified in Section 7.1, as Licensee's sole and exclusive remedy, elect to: (a) obtain for Licensee the right to use such FCS TCK; (b) replace or modify the FCS TCK to become non-infringing; or if alternatives (a) or (b) are not commercially practicable in Oracle's sole discretion, (c) accept the return of the FCS TCK and grant Licensee a refund of any upfront license fee as depreciated on a five year straight-line basis.

*7.3 Disclaimer.* THIS SECTION 7.0 STATES THE ENTIRE LIABILITY OF ORACLE WITH RESPECT TO INFRINGEMENT OF ANY INTELLECTUAL PROPERTY RIGHTS BY THE TCK. ORACLE SHALL HAVE NO OTHER LIABILITY WITH RESPECT TO INFRINGEMENT OF INTELLECTUAL PROPERTY RIGHTS OF ANY THIRD PARTY.

## **8.0 LIMITATION OF LIABILITY**

Except for express undertakings to indemnify under this Agreement, violation of Oracle's Intellectual Property Rights, or breach of Section 2.0 or 6.0: (a) each party's liability to the other for claims relating to this Agreement, whether for breach or in tort, shall be limited to the license fees paid by Licensee for the Technology related to the claims, if any (provided that this limit shall not apply to Licensee's obligation to make payments owed hereunder); (b) IN NO EVENT WILL EITHER PARTY BE LIABLE FOR ANY INDIRECT, INCIDENTAL, SPECIAL, CONSEQUENTIAL OR PUNITIVE DAMAGES IN CONNECTION WITH OR ARISING OUT OF THIS AGREEMENT (INCLUDING LOSS OF PROFITS, USE, DATA, OR OTHER ECONOMIC ADVANTAGE), NO MATTER WHAT THEORY OF LIABILITY, EVEN IF EITHER PARTY HAS BEEN ADVISED OF THE POSSIBILITY OR PROBABILITY OF SUCH DAMAGES AND WHETHER OR NOT SUCH DAMAGES ARE FORSEEABLE; and (c) LIABILITY SHALL BE SO LIMITED AND EXCLUDED, EVEN IF ANY REMEDIES PROVIDED FOR IN THIS AGREEMENT FAIL OF THEIR ESSENTIAL PURPOSE. The provisions of this Section 8.0 allocate the risks under this Agreement between Oracle and Licensee and the parties have relied upon the limitations set forth herein in determining whether to enter into this Agreement.

## **9.0 TERM AND TERMINATION**

*9.1 Term.* The Term of this Agreement shall begin on the Effective Date and shall continue for the period specified in the applicable Exhibit A unless terminated earlier as provided below. Thereafter, the Agreement shall automatically be extended for up to five (5) additional one (1) year terms unless either party provides written notice of its desire to terminate the Agreement to the other party at least thirty (30) days prior to the expiration of the then-current annual term. In addition, termination is permitted: (a) by either party for the other party's breach of this Agreement, upon thirty (30) days written notice to the other party and an opportunity to cure within such thirty (30) day period; or (b) by Oracle upon any action by Licensee alleging that use

or distribution of the TCK or an implementation of the Java Specification by Oracle or any of Oracle's licensees of the TCK infringes a patent of Licensee.

9.2 *Effect of Expiration or Termination.* Upon the expiration or termination of this Agreement, Licensee shall promptly: (a) return to Oracle all copies of the TCK and other Confidential Information of Oracle (collectively "Oracle Property") in Licensee's possession or control; or (b) permanently destroy or disable all copies of the Oracle Property remaining in Licensee's possession or control, except as specifically permitted in writing by Oracle; and (c) upon Oracle's request, provide Oracle with a written statement certifying that Licensee has complied with the foregoing obligations. All rights and licenses granted to Licensee shall terminate upon such termination; provided, however, that in the event of the expiration of this Agreement then Licensee shall be authorized to: (x) distribute Product(s) previously and successfully self-certified against the version of the TCK licensed hereunder at the time of expiration, subject to Licensee's continued compliance with this Agreement including the payment of any applicable fees, and (y) retain one (1) copy of the TCK to support customers having copies of Product(s) distributed by Licensee prior to the expiration hereof. All other rights of Licensee shall terminate upon such expiration.

9.3 *No Liability for Expiration or Lawful Termination.* Neither party shall have the right to recover damages or to indemnification of any nature, whether by way of lost profits, expenditures for promotion, payment for goodwill or otherwise made in connection with the business contemplated by this Agreement, due to the expiration or permitted or lawful termination of this Agreement. EACH PARTY WAIVES AND RELEASES THE OTHER FROM ANY CLAIM TO COMPENSATION OR INDEMNITY FOR TERMINATION OF THE BUSINESS RELATIONSHIP CONTEMPLATED BY THIS AGREEMENT UNLESS TERMINATION IS IN MATERIAL BREACH OF THIS AGREEMENT.

9.4 *Non-Exclusive Rights.* The rights of Oracle under this Section 9.0 are in addition to any other rights and remedies permitted by law or equity under this Agreement.

9.5 *Survival.* The parties' rights and obligations under Sections 4.0, 6.0, 7.0, 8.0, 9.0, and 11.0 shall survive expiration or termination of this Agreement, and in addition Oracle's rights and Licensee's obligations under Section 2.0 shall survive.

## 10.0 MISCELLANEOUS

10.1 *Notices.* All written notices required by this Agreement must be delivered in person or by means evidenced by a delivery receipt and will be effective upon receipt by the persons at the addresses specified below.

Oracle:

**Oracle America, Inc.**

500 Oracle Parkway

Redwood City, California 94065

Attn.: VP, Embedded Global Business Software Sales

Licensee:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

cc: General Counsel

Each party shall notify the other party in writing sent to the address above of any changes to the foregoing information.

10.2 *Marketing and Press Announcements.* Licensee's initial press announcement concerning execution of this Agreement must be reviewed and approved by Oracle prior to its release. Licensee hereby authorizes Oracle to include Licensee in a published list of licensees of the specific TCK(s) licensed hereunder. Oracle shall also be authorized to use Licensee's name in advertising, marketing collateral, and customer success stories prepared by or on behalf of Oracle for such TCKs subject to prior approval by Licensee, such approval not to be unreasonably withheld or delayed.

10.3 *Waiver.* Any express waiver or failure to exercise promptly any right under this Agreement will not create a continuing waiver or any expectation of non-enforcement.

10.4 *Partial Invalidity.* If any of the above provisions are held to be in violation of applicable law, void, or unenforceable in any jurisdiction, then such provisions are herewith waived or amended to the extent necessary for the Agreement to be otherwise enforceable in such jurisdiction. However, if in Oracle's opinion deletion or amendment of any provisions of the Agreement by operation of this paragraph unreasonably compromises the rights or increase the liabilities of Oracle or its licensors, Oracle reserves the right to terminate the Agreement.

10.5 *Language.* This Agreement is in the English language only, which language shall be controlling in all respects, and all versions of this Agreement in any other language shall be for accommodation only and shall not be binding on the parties to this Agreement. All communications and notices made or given pursuant to this Agreement, and all documentation and support to be provided, unless otherwise noted, shall be in the English language.

10.6 *Governing Law.* This Agreement is made under and shall be governed by and construed under the laws of the State of California and controlling U.S. law. The choice of law rules of any jurisdiction shall not apply.

10.7 *Compliance with Laws.* TCK, Documentation and Products are subject to United States export laws, including the U.S. Export Administration Act and its associated regulations, and may be subject to export or import laws or regulations in other countries. Licensee agrees to comply strictly with all such laws and regulations including to obtain licenses to export, re-export or import the TCK, Documentation or Products as may be required after delivery of the TCK or Documentation to Licensee. Unless authorized by the United States government, Licensee will not directly or indirectly export or re-export the TCK, Documentation or Products to any embargoed or restricted country identified in the United States export laws, including but not limited to the Export Administration Regulations (15 C.F.R. Parts 730-744). Licensee represents and warrants that it is not identified on any United States Government export exclusion lists. Licensee shall not use the TCK to test Products for nuclear, missile, chemical, or biological weaponry or other weapons of mass destruction to the extent prohibited by United States export laws. Licensee shall make reasonable efforts to notify and inform its employees and/or agents having access to the TCK of Licensee's obligation to comply with the requirements stated in this

Section 10.8

10.8 *Disclaimer of Agency.* The relationship created hereby is that of licensor and licensee. This Agreement is not intended to create a relationship such as a partnership, franchise, joint venture, agency, or employment relationship. Neither party may not act in a manner which expresses or implies a relationship other than that of independent contractor, nor bind the other party. Licensee hereby waives the benefit of any laws dealing with the establishment and regulation of franchises.

10.9 *Assignment.* Licensee may not assign or transfer this Agreement without Oracle's prior written consent, which consent shall not be unreasonably withheld or delayed.

10.11 *Exhibits.* The following are included herein by reference as integral parts of this Agreement:

- Exhibit A -TCK Specific Terms and Conditions
- Exhibit B -Master Support Agreement
- Exhibit C -Trademark License

To the extent the terms and conditions of any Exhibit are contrary to the terms and conditions of this Agreement, the terms and conditions of such Exhibit shall govern.

10.12 *Complete Understanding.* This Agreement and the Exhibits hereto constitute and express the final, complete and exclusive agreement and understanding between the parties with respect to its subject matter and supersede all prior or contemporaneous communications, representations or agreements, whether written or oral, with respect to the subject matter hereof. No terms of any purchase order or similar document issued by Licensee shall be deemed to add to, delete or modify the terms and conditions of this Agreement. This Agreement may not be modified, amended, rescinded, canceled or waived, in whole or part, except by a written instrument signed by the authorized representatives of the parties.

IN WITNESS WHEREOF, the parties have caused this Agreement to be executed by their duly authorized representatives.

**Oracle America, Inc.**

**Licensee:** \_\_\_\_\_

By: \_\_\_\_\_

By: \_\_\_\_\_

Name: \_\_\_\_\_  
(Print or Type)

Name: \_\_\_\_\_  
(Print or Type)

Title: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

Date: \_\_\_\_\_

**EXHIBIT A**  
**TECHNOLOGY SPECIFIC TERMS AND CONDITIONS**

**I. Description of TCK, Test Tools and Documentation**

- A. Java Specification: Java Platform Enterprise Edition 7 (JavaEE 7)(JSR \_\_\_\_)
- B. TCK: Technology Compatibility Kit for JSR \_\_\_\_
- C. Test Tools: JavaTest Harness (included with the TCK for JSR \_\_\_\_)
- D. Documentation: JSR \_\_\_\_ TCK User's Guide and Release Notes (README.html)

**II. Section 2.0 License Grant Limitation:** testing Licensee's Products

**III. Term:** three (3) years

If Licensee executed this Agreement having the status of a Qualified Not-for-Profit or Qualified Individual, then if and when there is a material change in any factors relevant to determining that status -- for example, that Licensee has come under the effective control of a commercial entity as a result of a change in the membership of Licensee's Board of Directors or equivalent governing body -- Licensee shall notify Oracle of the nature of the change(s). Failure to provide such notice, or the knowing disclosure of inaccurate information to Oracle in response to its reasonable requests (whether an initial application or follow-on requests) for information concerning Licensee's Qualified Not-for-Profit or Individual status, shall be considered a breach of this Agreement.

**IV. Schedule of Fees and Royalties**

A. For Commercial Licensees:

Option 1: \$350,000 (as of the Effective Date) per year, including Java EE Brand Maintenance, per Marketed Product. Includes Java EE CTS Support (including updates and upgrades to the TCK)

Option 2: \$100,000 plus a Compatibility Fee of 2% of Adjusted Revenues\* per year, subject to an annual cap of \$700,000 per year per Marketed Product. Includes Java EE CTS Support (including updates and upgrades to the TCK)

For purposes of this Section IV.A, "Marketed Product" means a licensee's product that has its own differentiation and marketing collateral. It may comprise one price list entry, or in some cases multiple entries (for example, to account for different localizations or delivery packaging). By way of example, in terms of Oracle's product line we wouldn't consider Oracle's Java System Application Server to be a Marketed Product, but Oracle's Java System Application Server Platform Edition, Standard Edition, and Enterprise Edition are three Marketed Products. Oracle's Java Studio Enterprise, which includes a Oracle Java System Application Server, is a fourth

Marketed Product.

Also for purposes of this Section IV.A, “Adjusted Revenues” means all gross corporate revenue related to Marketed Products, and includes without limitation both licensing and services.

All fees shall be due upon execution of this Agreement and upon each anniversary thereafter, except that Adjusted Revenues due pursuant to Option 2 above shall be due on a quarterly basis. In addition, Oracle shall have no obligation to deliver or make available the TCK until such fees are received by Oracle.

B. For Qualified Not-for-Profits and Qualified Individuals: \$0.

1. Change in Status. If Licensee entered into this Agreement as a Qualified Not-for-Profit or Qualified Individual but at any subsequent time fails to satisfy any of the conditions that give rise to that status (“Conversion Date”), then Licensee shall pay to Oracle any other fees that come due pursuant to this Exhibit A (which may be different than the fee stated above), the Trademark License and the MSA for parties that are not Qualified Not-for-Profits or Qualified Individuals. For the avoidance of doubt, no such fees shall be due if Licensee entered into this Agreement as a Qualified Individual and terminates this Agreement before the Conversion Date.

For the purposes of this Agreement, and so long as not inconsistent with any requirements established pursuant to the Java Community Process:

“*Qualified Not-for-Profit*” means a legally organized not-for-profit entity (such as, but not limited to, a "501[c]([3])" corporation) that is not owned or effectively controlled, based on prevailing standards of law in the applicable jurisdiction, by a commercial interest, that uses the TCK for the purpose of developing and distributing a compatible, non-commercial, independent implementation of a Specification, and that has established to the satisfaction of Oracle, in its reasonable discretion, that such entity is ready to begin testing its credible implementation of the Java Specification in question.

“*Qualified Individual*” means an individual not acting for or on behalf of a legally organized entity that uses the TCK for the purpose of developing and distributing a compatible, non-commercial, independent implementation of a Specification, and that has established to the satisfaction of Oracle, in its reasonable discretion, that such individual is ready to begin testing its credible implementation of the Java Specification in question.

“*non-commercial implementation*” means an implementation by Licensee or derived from Licensee’s implementation that is not used for strategic gain or advantage against Oracle and/or an implementation by Licensee that is not used for direct or indirect commercial gain.

## **V. Branding**

A. Branding. Pursuant to a separate Trademark License required to be executed by Licensee concurrent with the execution of this Agreement, Products must be branded with the compliance logo specified by Oracle below, if any, that corresponds to the Java Specification (the "Logo").

B. Logo.

for Java EE:

for Java EE Web Profile:

**EXHIBIT B**  
**MASTER SERVICE AGREEMENT**  
**(to be attached)**



**EXHIBIT C**  
**TRADEMARK LICENSE**  
**(to be attached)**