# What to do about sun.misc.Unsafe

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**Status: Draft** 

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#### README FIRST

This is a document that is a work in progress. Edit history etc should be taken with a strong grain of salt. A version number can be stamped on this when it's deemed ready to present to the correct OpenJDK mailing list(s).

## Summary

- 1. sun.misc.Unsafe is an unsupported API which has popular usage in the industry but it is **not an official standard** for Java SE. There are plans for its removal under the modularisation efforts for Java SE 9. This is deemed to be a good thing in general.
- 2. Many organisations/framework/library/product owners have stated they will be unable to move to Java SE 9 without some sort of replacement for some of the 'safe' sun.misc.Unsafe features.
- 3. The community at large should work on and propose JEPs through OpenJDK to provide the same functionality as the 'safe' parts of of sun.misc.Unsafe. It is generally acknowledged that there will not be enough time for this before Java SE 9 is feature complete (~Nov 2015).
- 4. As the JEPs and other pieces of work that make up Java SE 9 will be feature complete in ~Nov 2015, we would like to propose to allow sun.misc.Unsafe to be deprecated and/or be enabled by a -XX flag in order to allow new alternatives for the 'safe' parts of sun.misc.Unsafe to be proposed through the JEP process.

#### **Current Problems**

#### Widespread Community use of sun.misc.Unsafe - a proprietary API

sun.misc.Unsafe has wide traction in common Java frameworks and applications. Most applications, at least indirectly, depend on some library that uses Unsafe to speed up one thing or another.

In fact, even standard libraries such as java.util.concurrent depend upon pieces of Unsafe (such as park and CAS operations) for which there is no realistic alternative.

Over time, Unsafe has becoming a "dumping ground" for non-standard, yet necessary, methods for the platform, with useful methods that are relatively safe in experienced hands (such as the CAS operations) being lumped in with low-level methods that are of no real use to library developers.

#### Pending removal in Java 9 with Modularisation

Access to <code>sun.misc.Unsafe</code> is currently slated to be prevented in the upcoming Java 9 release as part of Project Jigsaw. This will break frameworks that do not (or cannot) offer a sufficient fallback and will still harm frameworks that do provide a fallback implementation, as the primary reason for adopting Unsafe in the first place is usually performance.

While additional JNI libraries could provide the same functionality as Unsafe, such libraries would need to provide 32-bit and 64-bit as well as Windows and Linux variations. This is less safe than the Unsafe class in Java 8, or a potential replacement in Java 9, as each framework would have to offer it's own implementation. To achieve comparable performance, more functionality would need to be migrated into C. e.g. an operation to read or write a String in UTF-8 format to/from native memory can be written in Java currently and achieve near C speeds, but without the intrinsics available in Unsafe, such an operation would have to be written in JNI to avoid crossing the JNI barrier too many times.

#### **Missing Cross-Vendor Specification**

The current sun.misc.Unsafe class is not specified. Content changes from version to version and vendor to vendor. Cross-JVM implementations need to check for a lot of circumstances to make sure the Unsafe based implementation works on most JVMs.

#### Uses of Unsafe

- Low, very predictable latencies (low GC overhead)
- Fast de-/serialization
- Thread safe 64-bit sized native memory access (for example offheap)
- Atomic memory operations
- Efficient object / memory layouts
- Fast field / memory access
- Custom memory fences
- Fast interaction with native code
- Multi-operating system replacement for JNI.
- "Type hijacking" of classes for type-safe APIs without calling a constructor.
- Access to array items with volatile semantic

## Examples of projects/products using Unsafe

- MapDB
- Nettv
- Hazelcast
- Cassandra
- Mockito / EasyMock / JMock / PowerMock
- Scala Specs
- Spock
- Robolectric

- Grails
- Neo4j
- Apache Kafka
- Apache Wink
- Apache Storm
- Apache Continuum
- Zookeeper
- Dropwizard
- Metrics (AOP)
- Kryo
- Byte Buddy
- Hibernate
- Liquibase
- Spring Framework
- Ehcache (sizeof)
- OrientDB
- Chronicles (OpenHFT)
- Apache Hadoop, Apache HBase (hadoop based database)
- GWT
- Disruptor
- jRuby
- Scala
- Akka
- Real Logic Argona
- XRebel
- ...

# **GAP Analysis of JEPs**

Here's an attempt to look at what JEPs would need to be raised to provide safe, support versions of existing 'safe' sun.misc.Unsafe features.

## Possible Replacements for some aspects (mentioned in the past)

Green = Already available / Will be available in Java 9
Orange = May be available in Java 9
Red = Unlikely to be available in Java 9

Proposal	Expected in Java 9
<u>VarHandle</u> (no JEP)	no

Project Panama (JFFI, <u>JEP 191</u> )	no
Serialization 2.0 (JEP 187)	no (JEP disappeared - wayback machine)
ValueTypes (no JEP)	no
Enhanced Volatiles ( <u>JEP 193</u> )	maybe
Arrays 2.0 (no JEP)	no
Variable Object Layout (no JEP)	no
Byte Buffers	available today (but missing 64 bit and atomic operations)
Extending Field / Array reflection access	not yet discussed

#### What is Needed

## A roadmap for the retirement/replacement of Unsafe

Ideally, the retirement of Unsafe should be governed by a JEP within OpenJDK, with a JSR to cover the standardisation of the addition of the "good / safe pieces of Unsafe".

## A mapping of Unsafe Features to JEPs / Features

In OpenJDK 7 <u>sun.misc.Unsafe</u> consisted of 105 methods. These subdivide into a few groups of important methods for manipulating various entities. Here are some of the main groupings:

Off-heap memory access is the number one used feature followed by Memory Information.

Green = Full Replacement
Orange = Possible Replacement (partly replacing the functionality)
Red = None

Feature	sun.misc.Unsafe	Usage Google Search Results <sup>\$</sup>	Java 9 replacement, if any
Memory Information	addressSize pageSize	17,700 65,800	
Objects	allocateInstance	5,290	Reflection (Field),

	objectFieldOffset	2,820	JEP 193?
Classes	staticFieldOffset defineClass defineAnonymousClass ensureClassInitialized	2,820 11,400 2,350 2,760	
Arrays	arrayBaseOffset arrayIndexScale	1,560 4,960	Reflection (Array), Enhanced Volatiles - JEP 193?
Synchronization	monitorEnter tryMonitorEnter monitorExit park unpark	4,680 2,360 14,700 N/A 13,200	Existing Java syntax and libraries.  park / unpark by using LockSupport
"Safe Unsafe" On-heap Object access  Note: All other access operations (e.g. getX/putX with address argument) are currently invalid (as in "will cause random heap corruption") for on-heap object access	Unordered field access: getX(Object o,) putX(Object o,) Volatile/ordered field access: getXVolatile putXVolatile putOrderedX Atomics: compareAndSwapX getAndAddX getAndSetX Fences: storeFence readFence fullFence copyMemory(Object src,, Object dst,) setMemory(Object o,)	26.300 (object) 5.420 (object) 3.350 (object) 3.110 (object) 4.030 (int) 3.800 (int) 1.010 (int) 290 (int)  1.820 202 1.900 19.400  19.900	Reflection (Field, Array). Enhanced Volatiles - JEP 193? VarHandle?, Arrays 2.0?, Variable Object Layout, A fences API JEP?
Off-heap Memory access	allocateMemory freeMemory copyMemory setMemory getAddress Unordered field access: getX(long address,) putX(long address,) Volatile/ordered field access: getXVolatile(0,) putXVolatile(0,)	39,200 122,000 19.400 19.900 10.600 26.300 (object) 5.420 (object) 3.350 (object) 3.110 (object)	Mapped Byte Buffers (Speed-enhanced mapped buffer? e.g. ones with a constant limit that can allow compilers to avoid range checks in loops) Variable Object Layout, A fences API JEP?

putOrderedX(0,) Atomics:	4.030 (int)
compareAndSwapX(0,)	3.800 (int)
getAndAddX(0,)	1.010 (int)
getAndSetX(0,)	290 (int)
Fences:	
storeFence	1.820
readFence	202
fullFence	1.900

<sup>\$</sup> An indicator of popularity

## Fields in sun.misc.Unsafe

INVALID_FIELD_OFFSET	This constant differs from all results that will ever be returned from <u>#staticFieldOffset</u> , <u>#objectFieldOffset</u> , or <u>#arrayBaseOffset</u> .
ARRAY_BOOLEAN_BASE_OFFSET	The value of {@code arrayBaseOffset(boolean[].class)}
ARRAY_BYTE_BASE_OFFSET	The value of {@code arrayBaseOffset(byte[].class)}
ARRAY_SHORT_BASE_OFFSET	The value of {@code arrayBaseOffset(short[].class)}
ARRAY_CHAR_BASE_OFFSET	The value of {@code arrayBaseOffset(char[].class)}
ARRAY_INT_BASE_OFFSET	The value of {@code arrayBaseOffset(int[].class)}
ARRAY_LONG_BASE_OFFSET	The value of {@code arrayBaseOffset(long[].class)}
ARRAY_FLOAT_BASE_OFFSET	The value of {@code arrayBaseOffset(float[].class)}
ARRAY_DOUBLE_BASE_OFFSET	The value of {@code arrayBaseOffset(double[].class)}
ARRAY_OBJECT_BASE_OFFSET	The value of {@code arrayBaseOffset(Object[].class)}
ARRAY_BOOLEAN_INDEX_SCALE	The value of {@code arrayIndexScale(boolean[].class)}
ARRAY_BYTE_INDEX_SCALE	The value of {@code arrayIndexScale(byte[].class)}

ARRAY_SHORT_INDEX_SCALE	The value of {@code arrayIndexScale(short[].class)}
ARRAY_CHAR_INDEX_SCALE	The value of {@code arrayIndexScale(char[].class)}
ARRAY_INT_INDEX_SCALE	The value of {@code arrayIndexScale(int[].class)}
ARRAY_LONG_INDEX_SCALE	The value of {@code arrayIndexScale(long[].class)}
ARRAY_FLOAT_INDEX_SCALE	The value of {@code arrayIndexScale(float[].class)}
ARRAY_DOUBLE_INDEX_SCALE	The value of {@code arrayIndexScale(double[].class)}
ARRAY_OBJECT_INDEX_SCALE	The value of {@code arrayIndexScale(Object[].class)}
ADDRESS_SIZE	The value of {@code addressSize()}

# Proposal - Working Group

In order to propose sane replacements in OpenJDK via the JEP process, there needs to be some serious analysis from the industry at large. Here is an (incomplete) list of people/orgs who will assist in the GAP analysis.

# **Working Group Members**

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